



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/755,966	01/05/2001	Joni Endo	15129.9



CONFIRMATION NO. 5159  
FORMALITIES LETTER



\*OC000000005935350\*

JOHN C. STRINGHAM  
WORKMAN NYDEGGER & SEELEY  
1000 EAGLE GATE TOWER  
60 EAST SOUTH TEMPLE  
SALT LAKE CITY, UT 84111

Date Mailed: 04/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$474.
  - \$234 for 13 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1314.

8888  
8888  
8888  
712222

A copy of this notice **MUST** be returned with the reply.

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/14/2001 KENDIE 00000023 0975535  
01 FC:101 01 FC:105 01 FC:102 01 FC:103  
01 FC:104 01 FC:106 01 FC:107 01 FC:108

05-10-01

## PATENT APPLICATION

Docket: 15129.9

SECTION #3

PATENTS

TRADEMARKS

COPYRIGHTS

TRADE SECRETS

UNFAIR COMPETITION

LICENSING

COMPLEX LITIGATION

MAILING ADDRESS:

P.O. BOX 45862

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspatent.com>

GENERAL E-MAIL: info@wnspatent.com

WORKMAN  
NYDEGER  
& SEELEY

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER

60 EAST SOUTH TEMPLE

SALT LAKE CITY, UTAH 84111

TELEPHONE (801) 533-9800

FAXSIMILE (801) 328-1707



MAY 09 2001

RICK D. NYDEGER  
 DAVID O. SEELEY  
 BRENT P. LORIMER  
 THOMAS R. VUKSNICK  
 LARRY R. LAYCOCK  
 JONATHAN W. RICHARDS  
 DAVID R. WRIGHT  
 JOHN C. STRINGHAM  
 JOHN M. GUINN  
 CHARLES L. ROBERTS  
 GREGORY M. TAYLOR  
 DANA L. TANGREN  
 ERIC L. MASCHOFF  
 KEVIN B. LAURENCE  
 CHARLES J. VEVERKA  
 ROBYN L. PHILLIPS  
 RICHARD Q. GILMORET  
 DAVID B. DELLENBACH  
 KEVIN K. JOHANSON  
 R. BURNS ISRAELSEN  
 DAVID R. TODD  
 L. DAVID GRIFFIN  
 FRASER D. ROY  
 CARL T. REED  
 JESÚS JUANÓS TIMONEDA, PH.D.  
 R. PARRISH FREEMAN, JR.  
 PETER F. MALEN, JR.  
 ADRIAN J. LEE  
 DAVID B. TINGEY  
 L. REX SEARS, PH.D.  
 ERIC M. KAMERATH  
 ROBERT E. AYCOCK  
 JENS C. JENKINS  
 KEVIN W. STINGER  
 WILLIAM J. ATHAY  
 WILLIAM R. RICHTER\*  
\*ADMITTED ONLY IN CALIFORNIA  
†ADMITTED ONLY IN PENNSYLVANIA  
 H. ROSS WORKMAN  
 OF COUNSEL

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joni Endo, et al.

Serial No.: 09/755,966

Confirmation No.: 5159

Filed: January 5, 2001

For: MAPPING CLINICAL DATA WITH A  
HEALTH DATA DICTIONARY

## BOX: MISSING PARTS

Assistant Commissioner for Patents  
Washington, DC 20231RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Assistant Commissioner:

In response to the Notice to File Missing Parts of Application mailed April 4, 2001, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- A Declaration, Power of Attorney, and Petition executed by the inventors Joni Endo et al.
- An Assignment conveying the invention to 3M Innovative Properties Company, including a Form PTO-1595 recordation cover sheet.

- A Declaration Claiming Small Entity Status Pursuant to 37 C.F.R. § 1.9 and § 1.27.
- The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27
- A copy of the Notice to File Missing Parts of Application - Filing Date Granted.
- A Certificate of Deposit under 37 C.F.R. § 1.8.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE				\$355		\$710
TOT. CLAIMS	33-20=	11	X 9=		11X18=	234
IND. CLAIMS	6-3=	3	X 40		3 X80=	240
MULTIPLE DEPENDENT CLAIM			+135=		+270=	
			<b>TOTAL</b>		<b>TOTAL</b>	\$1184.00

- Credit Card Payment form in the amount of \$1,454 is enclosed to cover:
  - The \$1,284.00 government filing fee.
  - The \$40.00 recordation fee of the enclosed assignment.
  - The \$130 surcharge fee pursuant to 37 C.F.R. § 1.16(e).

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178.

- Any additional filing fees required under 37 C.F.R. § 1.16.
- Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

- Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.
- Any patent application processing fees under 37 C.F.R. § 1.17.

May 7, 2001  
Page 3

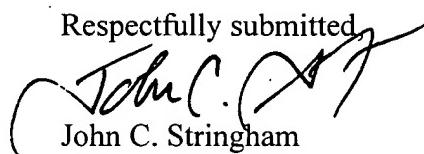
The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 9 day of May, 2001,

Respectfully submitted,



John C. Stringham  
Attorney for Applicant  
Registration No. 40,831



022913

PATENT TRADEMARK OFFICE

JCS:gnu  
G:\DATA\wpdocs2\GNU\STR\15129\9 B08\_missingparts\_trx.doc

EXPRESS MAIL LABEL NO. EL746188085US

PATENT APPLICATION

Docket: 15129.9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joni Endo, et al.

Serial No.: 09/755,966



Confirmation No.: 5159

Filed: January 5, 2001

For: MAPPING CLINICAL DATA WITH A  
HEALTH DATA DICTIONARY

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label No. EL 746188085 US

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this 9 day of May, 2001:

- Response to Notice to File Missing Parts of Application (3 pgs.) (in duplicate)
- Declaration, Power of Attorney, and Petition (5 pgs.)
- Assignment with Form PTO-1595 (5 pgs.)
- Copy of Notice to File Missing Parts of Application - Filing Date Granted (1 page)
- Credit Card Payment Form in the amount of \$1454.
- Postcard

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Stringham".

JOHN C. STRINGHAM  
Attorney for Applicant  
Registration No. 40,831

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800  
Facsimile: (801) 328-1707